# Nutrición Hospitalaria



# Revisión

# The effect of perioperative immunonutrition on patients undergoing esophagectomy: a systematic review and updated meta-analysis

*Efectos de la inmunonutrición perioperatoria en pacientes sometidos a esofagectomía: revisión sistemática y metaanálisis actualizado* 

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# Abstract

**Background:** immunonutrition has been introduced and proposed to have positive modulating effects on inflammatory and immune responses in surgical patients. This meta-analysis aimed to assess whether perioperative enteral immunonutrition (EIN) can reduce postoperative complications or reduce inflammatory responses in esophageal cancer (EC) patients undergoing esophagectomy.

**Methods:** PubMed, Embase, Web of science, EBSCO, and Cochrane library databases were systematically searched. Randomized controlled trials (RCTs) assessing the effect of EIN before and/or after surgery in EC patients undergoing esophagectomy were identified. Two investigators independently searched articles, extracted data, and assessed the quality of included studies.

**Results:** ten RCTs involving 1,052 patients were included in the meta-analysis, including 573 patients in the EIN group and 479 patients in the enteral nutrition (EN) group. Overall, no significant difference was observed between the two groups in the incidence of postoperative pneumonia, surgical site infection, intra-abdominal abscess, septicemia, and urinary tract infection. No significant incidence of postoperative anastomotic leakage, acute respiratory distress syndrome (ARDS), and in-hospital mortality was found.

Keywords:

Pneumonia. Esophagectomy. Enteral nutrition. Meta-analysis.

**Conclusions:** perioperative enteral immunonutrition did not reduce the incidence of infectious complications and anastomotic leakage in EC patients undergoing esophagectomy, nor did it reduce postoperative CRP and IL-6, but did not increase in-hospital mortality.

# Resumen

Antecedentes: se ha introducido y propuesto la inmunonutrición para regular activamente la inflamación y la respuesta inmune en pacientes quirúrgicos. El presente metaanálisis fue diseñado para evaluar si la inmunonutrición enteral perioperatoria (EIN, por sus siglas en inglés) puede reducir las complicaciones postoperatorias o la inflamación en pacientes con cáncer de esófago (CE) sometidos a esofagectomía.

Métodos: se realizó una búsqueda sistemática en las bases de datos de PubMed, Embase, Web of Science, EBSCO y Cochrane Library. Se evaluó el efecto de la EIN preoperatoria y/o postoperatoria en un ensayo aleatorizado controlado (RCT) en pacientes con cáncer de esófago sometidos a esofagectomía. Dos investigadores buscaron independientemente artículos, extrajeron datos y evaluaron la calidad de los artículos incluidos.

**Resultados:** el metanálisis incluyó diez ensayos controlados aleatorios en los que participaron 1.052 pacientes, de los cuales 573 fueron incluidos en el grupo EIN y 479, en el grupo de nutrición enteral (NE). En general, no hubo diferencia significativa en la incidencia de neumonía postoperatoria, infección del sitio quirúrgico, absceso intraperitoneal, sepsis e infección del tracto urinario entre los dos grupos. No hubo diferencia significativa en la incidencia de fístula anastomótica postoperatoria, síndrome de distrés respiratorio agudo (SDRA) y mortalidad hospitalaria.

Palabras clave: Neumonía. Esofagectomía. Nutrición enteral.

Metaanálisis

Conclusión: la inmunonutrición enteral perioperatoria no puede reducir la incidencia de complicaciones infecciosas postoperatorias y fístulas anastomóticas, ni la PCR postoperatoria ni la IL-6. Pero no aumentó la mortalidad hospitalaria.

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# INTRODUCTION

Esophageal cancer causes more than 500,000 cancer deaths each year, ranking sixth among all cancer-related deaths (1). The five-year overall survival rate for patients with esophageal cancer worldwide ranges from 15 % to 25 % (2), and risk factors for esophageal cancer include alcohol consumption, smoking, lack of fruits and vegetables, obesity, and gastroesophageal reflux disease (3). Esophagectomy is still the main treatment method for esophageal cancer, but severe trauma and postoperative complications, such as esophageal anastomotic leakage, gastroesophageal reflux, and severe infection of esophagectomy may impair the patients' quality of life (4).

Enteral immunonutrition (EIN), which can reduce the production of inflammatory mediators and regulate eicosanoid synthesis, is an enteral formula containing arginine, glutamine, omega-3 fatty acids and nucleotides (5-7). Immunonutrition has been introduced and proposed to improve the nutritional status of the body, enhance the response function of immune cells, regulate the production and release of cytokine and reduce inflammatory markers for surgical patients (8-10). However, the effect of EIN in EC patients remains unclear. Wang et al. (11) have conducted a preliminary analysis of EIN treatment after esophageal cancer and found that EIN did not reduce the incidence of postoperative complications in EC patients. Based on this study, we included ten RTCs and updated the meta-analysis on the relationship between inflammatory markers or postoperative complications with EIN after esophageal cancer surgery.

### MATERIALS AND METHODS

#### **SELECTION CRITERIA**

The inclusion criteria were as follows: a) published randomized controlled trials (RCTs) of immunonutritional support in EC patients with complete data and no language restrictions; b) subjects: all EC patients who received preoperative and/or postoperative immunonutrition support, and the duration of nutritional support was not limited; c) intervention measures: the experimental group was given immune nutritional support, and the control group was given routine nutritional support; d) outcome measures: the main outcome measures were the incidence of pneumonia, anastomotic leakage, surgical site infection, intra-abdominal abscess, septicemia, urinary tract infection, acute respiratory distress syndrome (ARDS), in-hospital mortality, C-reactive protein (CRP) of postoperative day (POD) 1, POD 3 and POD 7, and IL-6 of POD 1. The exclusion criteria were as follows: a) non-randomized controlled trials, such as reviews, systematic reviews, case reports, disease syndrome definition, etc.; b) non-clinical experiments, such as animal, cell experiments, etc.; and c) incomplete or duplicate information; and d) duplicate literature.

#### SEARCH STRATEGY

PubMed, Embase, and the Cochrane library were systematically searched from inception to April 2022, with the following keywords: ("oesophagus resection" or "esophagectomy" or "resection of esophagus" or "oesophagectomy" or "esophagus cancer" or "esophageal cancer" or "esophageal squamous cell carcinoma" or "esophageal carcinomas" or "oesophageal cancer" or "oesophageal carcinoma" or "carcinoma of the esophagus" or "carcinoma of esophagus" or "esophageal carcinoma" "esophagus carcinoma") and ("immunonutrition" or "immune-enhancing" or "immune-enhanced" or "immune-modulating"). No limitation was enhanced. To include additional eligible studies, the reference lists of retrieved studies and relevant reviews were also hand-searched and the process above was performed repeatedly until no further article was identified. Conference abstracts meeting the inclusion criteria were also included.

# DATA EXTRACTION AND QUALITY ASSESSMENT

Two researchers independently extracted the following information of RCTs according to predefined selection criteria: name of first author, publication year, sample size, baseline characteristics of patients, EIN formula and usage, control, study design, pneumonia, anastomotic leakage, surgical site infection, intraabdominal abscess, septicemia, urinary tract infection, ARDS, in-hospital mortality, CRP of POD 1, POD 3 and POD 7, and IL-6 of POD 1. The quality assessments of eligible studies were performed using the Cochrane Collaboration's tool published in the Cochrane Handbook (version 5.3).

#### DATA ANALYSIS

Meta-statistical analysis was performed using RevMan 5.3 software. Input raw data and perform data transformation. Mean differences (MDs) with 95 % confidence intervals (Cls) for continuous outcomes, and risk ratios (RRs) with 95 % Cls for dichotomous outcomes were used to estimate the pooled effects. Each effect size is provided with 95 % Cl. If  $p \ge 0.05$ ,  $l^2 \le 50$  %, a fixed-effect model was used for analysis; if p < 0.05,  $l^2 \ge 50$  %, it was considered that there was significant heterogeneity among studies, and subgroup analysis was performed or omitting one study at a time. If the heterogeneity cannot be eliminated, the random effects model is used to combine the effect sizes.

#### RESULTS

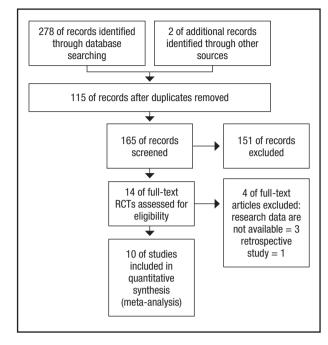
# LITERATURE SEARCH, STUDY CHARACTERISTICS AND QUALITY ASSESSMENT

The PRISMA flow diagram for the selection process and detailed identification was presented in figure 1. Two hun-

# THE EFFECT OF PERIOPERATIVE IMMUNONUTRITION ON PATIENTS UNDERGOING ESOPHAGECTOMY: A SYSTEMATIC REVIEW AND UPDATED META-ANALYSIS

dred and eighty publications were identified through the initial search of databases. After screening, ten RCTs (12-21) were included in the meta-analysis. And the basic characteristics of the ten publications included in this meta-analysis are presented in table I. These ten articles were published from 2007 to 2020, and the sample size included in these articles ranged from 29 to 272 with a total of 1,052, 573 of which received EIN before and/or after surgery and 479 received perioperative enteral nutrition (EN). Two studies included 112 patients who did not receive EN before esophagectomy and started EIN or EN after surgery. One study included 69 patients who received preoperative EIN without postoperative EIN, 68 patients who received postoperative EIN without preoperative EIN, and 77 patients who received both preoperative and postoperative EIN. And seven studies included 668 patients who received postoperative and postoperative EIN or EN.

The quality of each study was evaluated, most of the studies were high-quality RCTs, and their quality assessment is listed in figure 2. The modified Jadad scale was used to evaluate the methodological quality of each RCT included in this meta-analysis. All ten studies were considered to be highquality ones according to quality assessment.



#### Figure 1.

The PRISMA flow chart. RCT: randomized controlled trial.

Studies	Region	Sample size (n)	Preoperative nu	Preoperative nutritional duration		Postoperative nu	Postoperative nutritional duration		Jadad		
			EIN	EN	EIN (day)	EN (day)	EIN	EN	EIN (day)	EN (day)	Score
Ryan AM, 2009	Ireland	53	ProSure	Ensure <sup>®</sup> Plus	5	5	ProSure	Ensure <sup>®</sup> Plus	21	21	6
Sultan J, 2012	UK	195	Oxepa®	Ensure <sup>®</sup> Plus	7	7	Oxepa®	Ensure <sup>®</sup> Plus	7	7	7
Kanekiyo 2018	Japan	40	IMPACT	Ensure®	7	7	IMPACT	Ensure®	7	7	5
Kitagawa 2017	Japan	29	MHN-02	MEIBARANCE	5	5	MHN-02	MEIBALANCE	7	7	5
			(Group A) IMPACT				(Group A) IMPACT				
Mudge LA, 2018	Australia	272	(Group B) IMPACT	(Group D) ICSN	7	7	(Group B) ICSN	(Group D) ICSN	7	7	7
2010			(Group C) ICSN	10011			(Group C) IMPACT				
Healy LA, 2017	Ireland	191	ProSure	Ensure <sup>®</sup> Plus	5	5	Prosure	Ensure <sup>®</sup> Plus	30	30	7
Ohkura 2018	Japan	67	-	-	-	-	MEIN	HINE E-GEL®	6	6	5
Sakurai 2007	Japan	30	IMPACT	Ensure®	3	3	IMPACT	Ensure®	14	14	5
Li XK, 2020	China	103	Peptisorb with extra immunonutritional substrates	Peptisorb	7	7	Peptisorb with extra immunonutritional substrates	Peptisorb	30	30	6
Yasunori 2017	Japan	72	-	-	-	-	Experimental diet enriched with EPA, GLA, and Oxepa	Pulmocare®	21	21	7

# Table I. The basic characteristics of involved trials (EN/EIN)

EIN: enteral immunonutrition; EN: enteral nutrition; EPA: eicosapentaenoic acid; GLA: γ-linolenic acid.

# INFECTION-RELATED COMPLICATIONS AND HEMATOLOGICAL INDICATORS

All ten included studies reported the incidence of pulmonary infection, but there was no significant difference in the incidence of pneumonia between the EIN and EN group (RR = 0.96, Cl: 0.73-1.27, p = 0.79) (Fig. 3). Eight of the ten included studies reported the incidence of wound infection, but there was no significant difference between the EIN and EN group (RR = 0.80, Cl: 0.51-1.24, p = 0.31) (Fig. 4). Two of the ten included studies reported the incidence of intra-abdominal abscess, but there was no significant difference between the EIN and EN group (RR = 1.00, Cl: 0.55-1.79, p = 0.99) (Fig. 5). Four of the ten included studies reported studies reported the incidence of septicemia, but the

incidence of septicemia was not significantly different between the EIN and EN group (RR = 0.97, CI: 0.51-1.85, p = 0.93) (Fig. 6). Two of the ten included studies reported the incidence of urinary tract infection, but there was no significant difference between the EIN and EN group (RR = 1.00, CI: 0.50-2.01, p = 0.99) (Fig. 7). All eligible studies provided the incidence of infection complications, which included CRP of POD 1 in three studies, CRP of POD 3 in two studies, CRP of POD 7 in three studies, and IL-6 of POD 1 in two studies. No significant difference was observed between the two groups in CRP of POD 1 (MD = -9.05, CI: -29.41-11.32, p = 0.38) (Fig. 8), CRP of POD 3 (MD = 12.22, CI: -6.82-31.26, p = 0.21) (Fig. 9), CRP of POD 7 (MD = -3.87, CI: -14.82-7.07, p = 0.49) (Fig. 10), or IL-6 of POD 1 (MD = 26.08, CI: -13.99-66.16, p = 0.20) (Fig. 11).

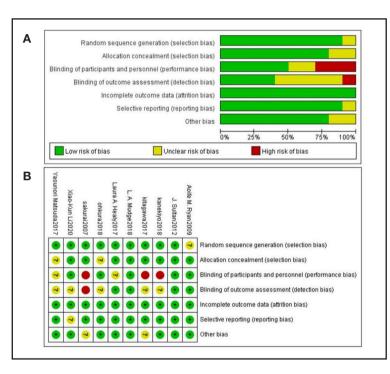
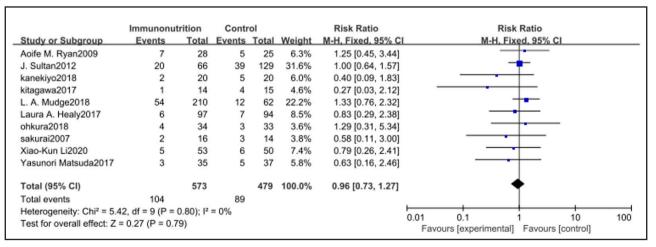


Figure 2.

Risks of bias assessment for each included study (n = 10). A. Risk of bias graph. B. Risk of bias summary.



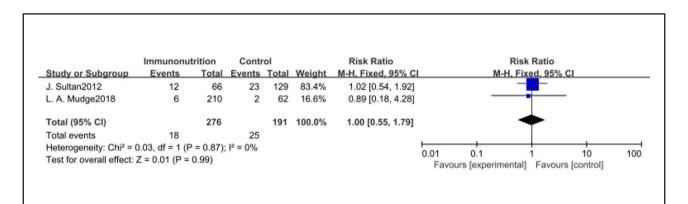
#### Figure 3.

Forest plot of the incidence of pneumonia between the EIN and EN groups.

	Immunonu	trition	Contr	ol		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% C	I M-H, Fixed, 95% CI
Aoife M. Ryan2009	0	28	2	25	6.7%	0.18 [0.01, 3.57]	· · · · · · · · · · · · · · · · · · ·
J. Sultan2012	9	66	9	63	23.2%	0.95 [0.41, 2.25]	_ <b>_</b>
kanekiyo2018	2	20	6	20	15.1%	0.33 [0.08, 1.46]	
kitagawa2017	3	14	2	15	4.9%	1.61 [0.31, 8.24]	
L. A. Mudge2018	18	210	3	62	11.7%	1.77 [0.54, 5.82]	
sakurai2007	1	16	3	14	8.1%	0.29 [0.03, 2.50]	
Xiao-Kun Li2020	4	53	6	50	15.6%	0.63 [0.19, 2.10]	
Yasunori Matsuda2017	4	35	6	37	14.7%	0.70 [0.22, 2.29]	
Total (95% CI)		442		286	100.0%	0.80 [0.51, 1.24]	•
Total events	41		37				
Heterogeneity: Chi <sup>2</sup> = 5.9	4, df = 7 (P =	0.55); l <sup>2</sup>	= 0%				0.01 0.1 1 10 100
Test for overall effect: Z =	1.01 (P = 0.3)	31)					Favours [experimental] Favours [control]

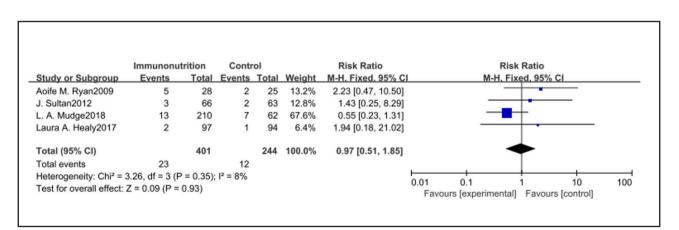
#### Figure 4.

Forest plot of the incidence of wound infection between the EIN and EN groups.



#### Figure 5.

Forest plot of the incidence of intra-abdominal abscess between the EIN and EN groups.



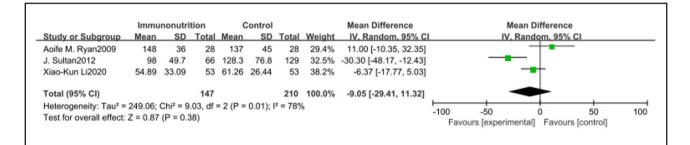
#### Figure 6.

Forest plot of the incidence of septicemia between the EIN and EN groups.

	Immunonut	rition	Contr	ol		Odds Ratio	Odds Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% Cl	M-H, Fixed, 95% Cl
J. Sultan2012	12	66	23	129	80.9%	1.02 [0.47, 2.21]	
L. A. Mudge2018	6	210	2	62	19.1%	0.88 [0.17, 4.49]	
Total (95% CI)		276		191	100.0%	1.00 [0.50, 2.01]	+
Total events	18		25				
Heterogeneity: Chi <sup>2</sup> = (	0.03, df = 1 (P	= 0.87);	$ ^2 = 0\%$				0.001 0.1 1 10 10
Total events Heterogeneity: Chi <sup>2</sup> = 0 Test for overall effect: 2	0.03, df = 1 (P						0.001 0.1 1 10 Favours [experimental] Favours [control]

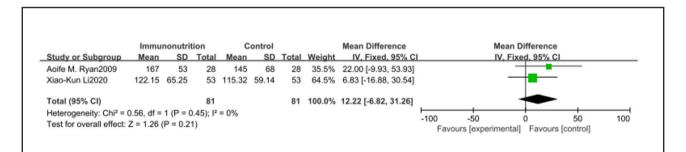
#### Figure 7.

Forest plot of the incidence of urinary tract infection between the EIN and EN groups.



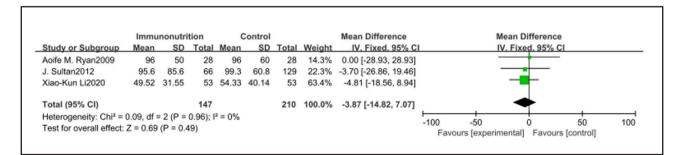
#### Figure 8.

Forest plot of the CRP of POD 1 between the EIN and EN groups.



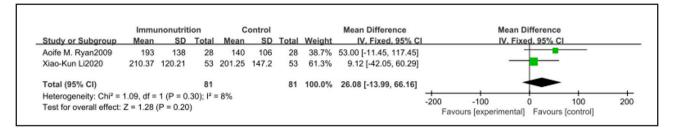
#### Figure 9.

Forest plot of the CRP of POD 3 between the EIN and EN groups.



#### Figure 10.

Forest plot of the CRP of POD 7 between the EIN and EN groups.



#### Figure 11.

Forest plot of the IL-6 of POD1 between the EIN and EN groups.

#### **DRUG SAFETY EVALUATION**

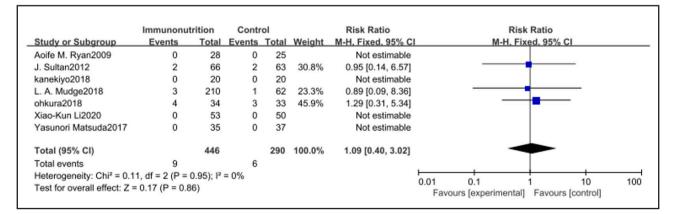
All ten included studies reported the incidence of anastomotic leakage, but there was no significant difference between the EIN and EN group (RR = 0.70, Cl: 0.47-1.05, p = 0.08) (Fig. 12). Seven studies reported the in-hospital mortality rate, but there was no

significant difference between the EIN and EN group (RR = 1.09, CI: 0.40-3.02, p = 0.86) (Fig. 13). And three studies reported the incidence of ARDS, but there was no significant difference between the EIN and EN group (RR = 1.44, CI: 0.31-6.68, p = 0.64) (Fig. 14).

	Immunonut	trition	Contr	ol		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% C	I M-H, Fixed, 95% Cl
Aoife M. Ryan2009	1	28	1	25	2.1%	0.89 [0.06, 13.54]	
J. Sultan2012	4	66	7	63	14.2%	0.55 [0.17, 1.77]	
kanekiyo2018	0	20	1	20	3.0%	0.33 [0.01, 7.72]	
kitagawa2017	2	14	1	15	1.9%	2.14 [0.22, 21.10]	
L. A. Mudge2018	20	210	8	62	24.5%	0.74 [0.34, 1.59]	
Laura A. Healy2017	4	97	7	94	14.1%	0.55 [0.17, 1.83]	
ohkura2018	4	34	6	33	12.1%	0.65 [0.20, 2.09]	
sakurai2007	2	16	3	14	6.4%	0.58 [0.11, 3.00]	
Xiao-Kun Li2020	3	53	4	50	8.2%	0.71 [0.17, 3.00]	
Yasunori Matsuda2017	6	35	7	37	13.5%	0.91 [0.34, 2.43]	
Total (95% CI)		573		413	100.0%	0.70 [0.47, 1.05]	•
Total events	46		45				
Heterogeneity: Chi <sup>2</sup> = 1.83,	df = 9 (P =	0.99); l <sup>2</sup>	= 0%				0.01 0.1 1 10 100
Test for overall effect: Z = 1	1.73 (P = 0.0	(8)					0.01 0.1 1 10 100 Favours [experimental] Favours [control]

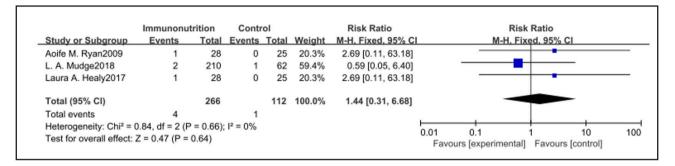
#### Figure 12.

Forest plot of the incidence of anastomotic leakage between the EIN and EN groups.



#### Figure 13.

Forest plot of the in-hospital mortality rate between the EIN and EN groups.



#### Figure 14.

Forest plot of incidence of ARDS between the EIN and EN groups.

#### DISCUSSION

Progressive dysphagia, first solid and then liquid, is a typical symptom of esophageal cancer. Therefore, most patients with esophageal cancer face a huge risk of malnutrition (22), and the median weight loss is the highest reported in esophageal cancer patients compared to patients with other malignancies (23). More studies show high risk of malnutrition and preoperative weight loss are associated with worse outcomes (24-29).

A meta-analysis aimed to evaluate the impact of EIN on postoperative infection and mortality in patients undergoing cancer surgery and indicated that EIN can reduce overall infectious complications and surgical-site infection (30). Yu et al. reported that immunonutrition did not reduce sepsis or all-cause mortality in cancer patients treated with surgery, but subgroup analyses revealed that immunonutrition for > 5 days and for  $\leq$  7 days reduced the rate of respiratory tract infection and the incidence of wound infection (31). However, another meta-analysis showed that there was no significant difference in infectious complications between immunonutritional support and traditional nutritional support after head and neck cancer surgery (32). An increasing number of controlled studies have focused on EIN and esophagectomy, but have not yet achieved ideal results.

Pulmonary infection is one of the most common complications after esophagectomy. It can be caused by many factors, such as surgical trauma, postoperative immunosuppression, sputum accumulation and disconnection of bronchial nerve. The current metaanalysis shows that there is no significant difference in the incidence of pulmonary infection between the EIN group and the EN group (RR = 0.96, Cl: 0.73-1.27, p = 0.79). In our opinion, the pain of the surgical incision in esophagectomy inhibits the patient's voluntary cough, and expectoration may have a more significant impact on pulmonary infection, but all studies have not shown the patient's pain score and postoperative analgesia regimen. Consistent with our view, Yin et al. (33) reported that compared with thoracoscopic esophagectomy, transcervical and transhiatal esophagectomy has lower pain score and less pulmonary infections. In addition, Sluis et al. (34) findings show that robot-assisted minimally invasive thoracolaparoscopic esophagectomy has lower mean postoperative pain and lower percentage of pulmonary complications than open transthoracic esophagectomy. On the other hand, the current meta-analysis showed that there was no significant difference in wound infection (RR = 0.80, CI: 0.51-1.24, p = 0.31), septicemia (RR = 0.97, CI: 0.51-1.85, p = 0.93), urethral infection (RR = 1.00, CI: 0.50-2.01, p = 0.99), intra-abdominal abscess (RR = 1.00, CI: 0.55-1.79, p = 0.99) and ARDS (RR = 1.44, CI: 0.31-6.68, p = 0.64) between the EIN group and the EN group. In the general view, the above-mentioned infectious complications may be related to deep venous catheterization, intraoperative aseptic management, and postoperative incision dressing change. Therefore, EIN and EN did not show significant differences in these complications. This meta-analysis showed that although the incidence of anastomotic leakage was lower in the EIN group, it did not show a significant difference compared with the EN group (RR = 0.70, CI: 0.47-1.05, p = 0.08). We deem that the occurrence of anastomotic leakage may be related to the blood supply and the tension of the anastomosis, and the postoperative inflammatory state may be a secondary factor, and only single-factor intervention cannot reduce the occurrence of anastomotic leakage.

Studies have shown that EIN can reduce the inflammatory response in severe patients with Covid-19, severe acute pancreatitis, major abdominal surgery and so on (35-37). But results of this meta-analysis showed that there was no significant difference in CRP of POD 1 (MD = -9.05, Cl: -29.41-11.32, p = 0.38), POD 3 (MD = 12.22, Cl: -6.82-31.26, p = 0.21), POD 7 (MD = -3.87, Cl: -14.82-7.07, p = 0.49) and IL-6 of POD 1 (MD = 26.08, Cl: -13.99-66.16, p = 0.20) after esophagectomy between the EIN group and the EN group.

However, the inflammatory factors selected in the included studies may not fully reflect the inflammatory state of patients, therefore, more inflammatory indicators such as procalcitonin, ESR and leukocyte count need to be measured to evaluate the relationship between the body's inflammatory state and EIN. On the other hand, the included studies did not show the administration of antibiotics after operation, and antibiotics may have a more significant inhibitory effect on inflammatory response than EIN. However, there was no significant difference in the in-hospital mortality (RR = 1.09, Cl: 0.40-3.02, p = 0.86) and incidence of ARDS (RR = 1.44, Cl: 0.31-6.68, p = 0.64) between EN and EIN, which at least suggested that EIN was a safe treatment.

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