



## Revisión

### Treating asthma patients with probiotics: a systematic review and meta-analysis *Tratamiento de pacientes asmáticos con probióticos: revisión sistemática y metaanálisis*

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#### Abstract

**Objective:** to evaluate the role of probiotics in the treatment of asthma patients by meta-analysis.

**Methods:** PubMed, Embase, The Cochrane Library, Web of Science, and other databases were searched by computer, and the relevant literature on the treatment of asthma by probiotics that met the inclusion criteria was screened by manual retrieval. Meta-analysis was performed using Revman 5.4 software and the combined effect was evaluated by odds ratio (OR) or mean difference (MD) and 95 % confidence interval (CI).

**Results:** a total of ten references were included, all of which were randomized controlled studies, and a total of 1,101 people were investigated. Fractional exhaled nitric oxide (FeNO) (MD = -7.17, 95 % CI: -12.81, -1.54), asthma symptom severity (MD = -0.07, 95 % CI: -0.10, -0.04), Childhood Asthma Control Test (CACT) (MD = 2.26, 95 % CI: 1.14, 3.39), and the number of acute episodes of asthma (OR = 0.30, 95 % CI: 0.19, 0.47) in the probiotics group were better than those in the control group. There was no significant difference in forced expiratory volume in the first second (FEV1) (MD = 0.11, 95 % CI: -0.05, 0.26) and FEV1/FVC (%) (MD = 0.32, 95 % CI: -1.48, 2.12).

**Conclusion:** the use of probiotics in patients with asthma can improve lung inflammation and asthma symptoms, reduce the number of asthma attacks, and have no effect on lung function.

#### Keywords:

Probiotics. Asthma. Meta.

#### Resumen

**Objetivo:** evaluar el papel de los probióticos en el tratamiento de pacientes con asma mediante metaanálisis.

**Métodos:** se realizaron búsquedas informáticas en PubMed, Embase, The Cochrane Library, Web of Science y otras bases de datos, y se examinó la literatura relevante sobre el tratamiento del asma con probióticos que cumplía con los criterios de inclusión mediante recuperación manual. El metaanálisis se realizó con el *software* Revman 5.4 y el efecto combinado se evaluó mediante la razón de probabilidades (OR) o diferencia media (MD) y el intervalo de confianza (IC) del 95 %.

**Resultados:** se incluyó un total de diez referencias, todas ellas estudios controlados aleatorios, y se investigó un total de 1.101 personas. El óxido nítrico exhalado (FeNO) (MD = -7,17, IC 95 %: -12,81, -1,54), la gravedad de los síntomas del asma (MD = -0,07, IC 95 %: -0,10, -0,04), la Prueba de Control del Asma (CACT-ACT) (MD = 2,26, IC 95 %: 1,14, 3,39) y el número de episodios agudos de asma (OR = 0,30, IC 95 %: 0,19, 0,47) en el grupo de probióticos fueron mejores que en el grupo de control. No hubo diferencia significativa en volumen espiratorio forzado en el primer segundo (FEV1) (DM = 0,11, IC 95 %: -0,05, 0,26) y FEV1/FVC (%) (DM = 0,32, IC 95 %: -1,48, 2,12).

**Conclusión:** el uso de probióticos en pacientes con asma puede mejorar la inflamación pulmonar y los síntomas del asma, reducir el número de ataques de asma y no tener efecto sobre la función pulmonar.

#### Palabras clave:

Probióticos. Asma. Meta.

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## INTRODUCTION

Asthma is a common chronic inflammatory respiratory disease (1), with high morbidity (2) and mortality. Studies have shown (3) that the proportion of children aged 13-14 and children aged 6-7 suffering from asthma increases by 0.28 % and 0.18 % annually. Due to the high incidence of asthma and the great economic pressure to treat asthma, it has attracted more and more attention from all walks of life in the past (4). The etiology and pathogenesis of asthma have not been fully elucidated (5), which may be related to various factors such as genetics, bacteria, viruses, immunity, nutrition, and environment. Asthma is mainly treated by inhaled corticosteroids, long-acting  $\beta$ -receptor agonists, leukotriene antagonists, and other drugs (6). Recently, the efficacy of probiotics in allergic diseases has received special attention (7). Experiments have shown that probiotics have a clear effect on allergic diseases such as allergic rhinitis (8,9) and eczema (10). However, the current meta-analysis showed that *Lactobacillus* supplementation had a positive effect on asthma prevention (11), while other probiotics had no significant effect on asthma prevention (12) and treatment (13). This is inconsistent with the conclusions of some experiments (14,15). In this study, meta-analysis was used to study the efficacy of probiotics in the treatment of asthma and evaluate it, so as to provide a reference for the selection of treatment options for asthma patients.

## METHOD

This study followed the Cochrane manual system evaluation and meta-analysis criteria, according to Prisma statement, clinical registration number: INP LA SY 202270076.

## SEARCH STRATEGY

We searched PubMed, Embase, The Cochrane Library and Web of Science databases to collect randomized controlled trials that met the inclusion criteria until July 2022. References for the included studies were also searched to supplement access to relevant information.

## STUDY SELECTION

Inclusion criteria were as follows: a) the study is a randomized controlled trial; b) the inclusion of subjects is not limited by age, gender, etiology, or ethnic group; c) asthma diagnosis is consistent with the Global Asthma Initiative (1); d) there was no significant difference in age, gender, course of disease among the groups, and they were comparable; e) the experimental group was treated with probiotics (unlimited strains, doses, and courses of treatment), and the control group was treated with placebo; e) the experiment uses one or more fractional exhaled nitric oxide (FeNO), forced expiratory volume in the first second

(FEV1), FEV1/FVC (%), asthma symptom severity, Childhood Asthma Control Test (CACT), Asthma Control Test (ACT), and the number of exacerbations to evaluate the experimental results. Higher FeNO indicates more severe airway inflammation. FEV1, FEV1/FVC (%) correlated with lung function. CACT- ACT indicates the degree of asthma control in the form of a scale. In this study, the assessment of asthma severity using a rating scale was summarized as asthma symptom severity.

Exclusion criteria were: 1) diseases with liver, gastrointestinal, kidney, endocrine, neuronal, cardiovascular, or psychiatric disorders or malignant tumors that may affect the results of the active upper respiratory tract infection study; b) conference papers, reviews, case reports, summaries of experiences, and repeated literature; c) the information contained in the literature is incomplete and cannot be obtained through other information; and d) low quality of literature (Cochrane Handbook < 2).

## ASSESSMENT OF RISK OF BIAS

Two commentators independently analyzed the included literature according to the Cochrane bias risk assessment criteria, and the inconsistencies were reached through discussion. The evaluation contents include: a) the generation of the random allocation scheme; b) the concealment of the allocation scheme; c) the implementation of the blind method; d) the integrity of result data; e) non-selective report of results; and f) other biases. "Low risk" means low risk of bias, "high risk" means high risk of bias, and "unclear risk" means that literature does not provide sufficient or certain information for bias assessment.

## LITERATURE SCREENING AND DATA EXTRACTION

Two researchers independently screened literature, extracted data and cross-checked them. If there were differences, they were solved through discussion or consultation with a third party. In literature screening, we first read the topic, and after excluding the obviously irrelevant literature, we further read the summary and full text to determine whether it was included. The author of the original study was contacted by email or telephone, if necessary, to obtain undetermined but important information for this study. Data extraction included: research topics, first author, publication year, age, gender, course of disease, follow-up time, intervention measures, outcome indicators.

## STATISTICAL ANALYSIS

Statistical analysis was performed using RevMan 5.4 software. For the enumeration data, relative risk (RR) and 95 % confidence interval (95 % CI) were used as efficacy analysis statistics. When there was statistical homogeneity among the studies ( $p > 0.1$ ,  $I^2 < 50$  %), a fixed effect model was used for meta-analysis; if there was significant heterogeneity among the

studies ( $p < 0.1$ ,  $I^2 > 50\%$ ), the source of heterogeneity was further analyzed, and a subgroup analysis on factors that may lead to heterogeneity was performed. A random effects model was used for analysis. The funnel plot was used to judge whether there was publication bias in the included literature, and Egger's test could be used when there were at least ten studies. Inspection level was set at  $\alpha = 0.05$ .

## RESULTS

### SELECTION OF STUDIES

A total of 2,609 related pieces of literature were obtained from the literature screening process and preliminary examination of results, of which 455 were repetitive publications and 2,121 articles were excluded due to their irrelevant titles and abstracts. After layer-by-layer screening, 33 articles were selected for full-text review, 23 articles assessed as unqualified were excluded, and ten articles were finally included (16-18,21-26), including 1,101 patients. The search and selection steps are shown in figure 1.

The ten articles finally included were all randomized controlled studies from SCI journals. Among them, two articles studied the relationship between probiotics and FeNO, three articles studied the relationship between probiotics and FEV1, four articles studied the relationship between probiotics and FEV1/FVC (%), two articles studied the relationship between probiotics and asthma symptom severity, four articles studied the relationship between

probiotics and CACT-ACT, and two articles studied the relationship between probiotics and the number of exacerbations. These ten studies were conducted in different countries, and the types and quantities of probiotics were also different. Table 1 summarizes the characteristics of each included study.

### ASSESSMENT OF RISK OF BIAS

The bias risk of included studies was assessed according to the Cochrane manual. The results showed that the research quality of all included randomized controlled trials (RCTs) was high, and the risk bias was mainly due to the midway introduction of research by some subjects. The results of the bias risk assessment included in the study are shown in figures 2 and 3.

### META-ANALYSIS

#### FeNO

Two studies including 99 patients reported FeNO in patients taking probiotics and placebo. We tested the heterogeneity of the two studies, and the results showed  $p = 0.11$  and  $I^2 = 61\%$ , indicating that heterogeneity is high. Therefore, the random effect model was used. After summarizing the data, we found that probiotics were lower than placebo patients, and the difference was statistically significant (MD = -7.17, 95% CI: -12.81, -1.54). The results are summarized in figure 4.

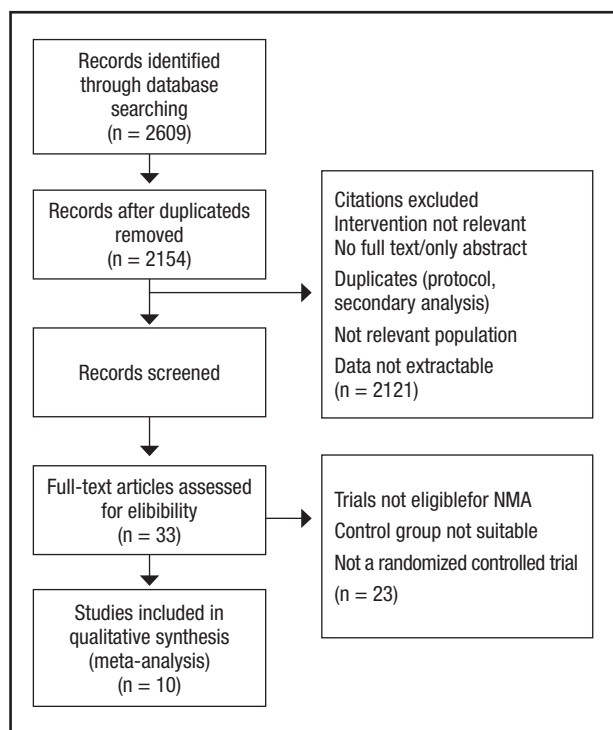
#### Asthma symptom severity

Two studies including 252 samples reported asthma symptom severity in patients taking probiotics and placebo. We tested for heterogeneity between two studies which showed  $p = 0.29$  and  $I^2 = 10\%$ , indicating very low heterogeneity; therefore, a fixed effects model was used. After pooling the data, we found asthma symptom severity in patients taking probiotics

It was lower than in the placebo patients, and the difference was statistically significant (MD = -0.07, 95% CI: -0.10, -0.04). The results are summarized in figure 5.

#### CACT-ACT

Four studies including 343 samples reported CACT-ACT of patients taking probiotics and placebo. We tested the heterogeneity of the four studies, and the results showed  $p = 0.42$  and  $I^2 = 0\%$ , indicating that heterogeneity was low. Therefore, we used the fixed effect model. After summarizing the data, we found that the CACT-ACT of patients taking probiotics was higher than that of patients taking placebo, and the difference was statistically significant (MD = 2.26, 95% CI: 1.14, 3.39). The results are summarized in figure 6.



**Figure 1.**

Flow chart of the stepwise procedure for study selection.

Table I. Characteristics of included studies

Author	Year	Gender	Age (years)	Follow-up (month)	Sample	Interventions	Type of probiotics	Outcomes
Yue-Sheng Chen	2010	28/21	8.1 ± 3.0	5	49	Probiotic-treated	<i>Lactobacillus gasseri</i> A5	FEV1, FVC, FEV1/FVC (%), PEFR, Post-bronchodilator, CACT, PAQLQ
		32/24	9.4 ± 4.1		56	Placebo		
Joanna Jerzynska	2016	-	5-12	5	20	Probiotic	<i>Lactobacillus rhamnosus</i> GG	FEV1, FVC, FEV1/FVC (%), FeNO, IL-10, TGF, IL-1, TNF, IL-6, TLR
					24	Placebo		
Lorenzo Drago	2022	121/101	7.0 ± 3.38	19	212	Probiotic	<i>Ligilactobacillus salivarius</i> LS01 (DSM 22775) <i>Bifidobacterium breve</i> B632 (DSM 24706) mixture	Corticosteroid dose Severity and duration of exacerbations Number and frequency of children with or without asthma exacerbations
		119/81	7.0 ± 2.95		210	Placebo		
M. A. Rose	2010	23/42	16.7 ± 5.52	12	65	Probiotic	<i>Lactobacillus rhamnosus</i> (LGG, 1,010 CFU)	IgE, eosinophils, ECP, CACT
		28/38	14.4 ± 5.83		66	Placebo		
Ailing Liu	2021	-	-		29	Probiotic	<i>Bifidobacterium lactis</i> ProBio-M8 powder and Symbicort Turbuhaler	ACT, CaNO, PEF PEV1, FeNO, IGE, TLC, ECP
					26	Placebo		
Maryam Hassanzad	2019	29/17	6.9 ± 2.7	12	46	Probiotic	Kidilact®	Frequency of medication use, outpatient visits and hospitalizations
		19/16	6.9 ± 2.7		35	Placebo		
Chian-Feng Huang	2018	65/57	7.68 ± 2.21	21	112	Probiotic	<i>Lactobacillus paracasei</i> (LP) <i>Lactobacillus fermentum</i> (LF)	PAQLQ score, PASS, PEFR, skin prick test reactivity, serum immune biomarker levels and fecal probiotic microbial composition
		18/17	7.86 ± 2.5		35	Placebo		
Jonatas Christian Vieira Moura	2019	7/7	11 ± 2.5	-	14	Probiotic	<i>Lactobacillus reuteri</i>	Asthma control test, spirometry and self-report of the symptoms they experienced associated with asthma
		10/6	10.2 ± 2.5		16	Placebo		

(Continues on next page)

Table I (Cont.). Characteristics of included studies

Author	Year	Gender	Age (years)	Follow-up (month)	Sample	Interventions	Type of probiotics	Outcomes
Piotr Gutkowski	2010	16/6	6.93 (4.3-9.9)	-	22	Probiotic	Trilac capsules (1.6 × 10 <sup>9</sup> lactic acid bacteria cells: <i>Lactobacillus acidophilus</i> – 37.5 % <i>Bifidobacterium bifidum</i> 37.5 % <i>Lactobacillus delbrueckii subsp. bulgaricus</i> – 25 %)	HLA-DR CD8/CD45RA
Michele Miraglia del Giudice	2017	18/22	9 ± 2.2	2	20	Probiotic	<i>Bifidobacteria</i> mixture, <i>Bifidobacterium longum</i> BB536 (3 × 10 <sup>9</sup> CFU), Bifantis M-63 (1 × 10 <sup>9</sup> CFU), and <i>B. breve</i> M-16V (1 × 10 <sup>9</sup> CFU)	TSS, QoL
		10/14	6.65 (4.2-9.7)		24	Placebo		
					20	Placebo		

FEV1: forced expiratory volume in the first second; FVC: forced expiratory volume; PEFs: peak expiratory flow rates; CACT: Childhood Asthma Control Test; PASS: Pediatric Asthma Severity Scores; PAQLQ: Pediatric Asthma Quality of Life Questionnaire; TSS: total symptom score; IL: interleukin; TGF: transforming growth factor; TNF: tumor necrosis factor; TLR: toll-like receptors; ECP: eosinophil cationic protein; HLA: human leukocyte antigen; QoL: quality of life; CFU: colony forming units.

### The number of acute episodes of asthma

Two studies, including 503 samples, reported the number of acute episodes in patients taking probiotics and placebos. We tested the heterogeneity of the two studies, and the results showed  $p = 0.42$  and  $I^2 = 0\%$ , indicating that heterogeneity was low. Therefore, the fixed effect model was used. After summarizing the data, we found that the number of acute episodes in patients with probiotics was lower than that in patients with placebo, and the difference was statistically significant (OR = 0.30, 95 % CI: 0.19, 0.47). The results are summarized in figure 7.

### Lung function-related indicators

Three studies, including 179 patients, reported FEV1 in patients taking probiotics and placebos. We tested the heterogeneity of the three studies, and the results showed  $p = 0.05$  and  $I^2 = 66\%$ , indicating high heterogeneity. Therefore, the random effect model was used. After summarizing the data, we found that there was no statistically significant difference in FEV1 between probiotics and placebo (MD = 0.11, 95 % CI: -0.05, 0.26). The results are summarized in figure 8.

Four studies, including 125 patients, reported FEV1/FVC (%) in patients taking probiotics and placebos. We tested heterogeneity of the four studies, and the results showed  $p = 0.68$  and  $I^2 = 0\%$ , indicating that heterogeneity was low. Therefore, we used the fixed effect model. After summarizing the data, we found no significant difference in FEV1 between probiotics and placebo (MD = 0.32, 95 % CI: -1.48, 2.12). The results are summarized in figure 9.

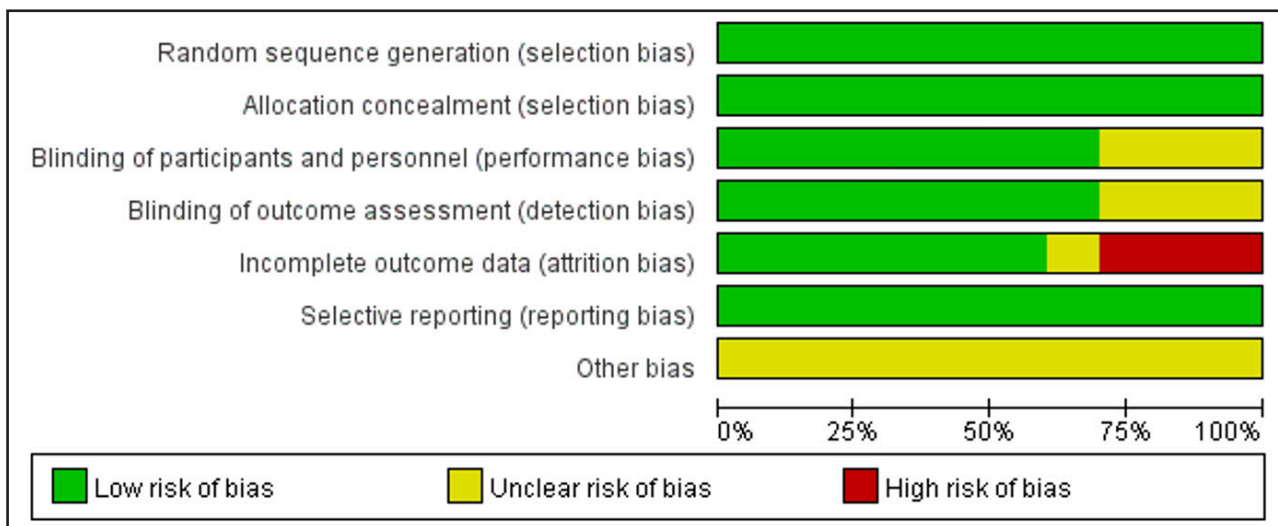
### TABLE BIAS AND SENSITIVITY ANALYSIS

The funnel plot in figure 10 is basically symmetrical, indicating that there is no potential publication bias and the reliability of the research results is high.

### DISCUSSION

In this study, a total of ten RCTs in SCI journals were included for systematic evaluation and meta-analysis. The results showed that probiotics can improve symptoms and airway inflammation in patients with asthma, reduce acute exacerbation of asthma, and have no significant improvement in lung function. This is different from the previous meta-analysis (13).

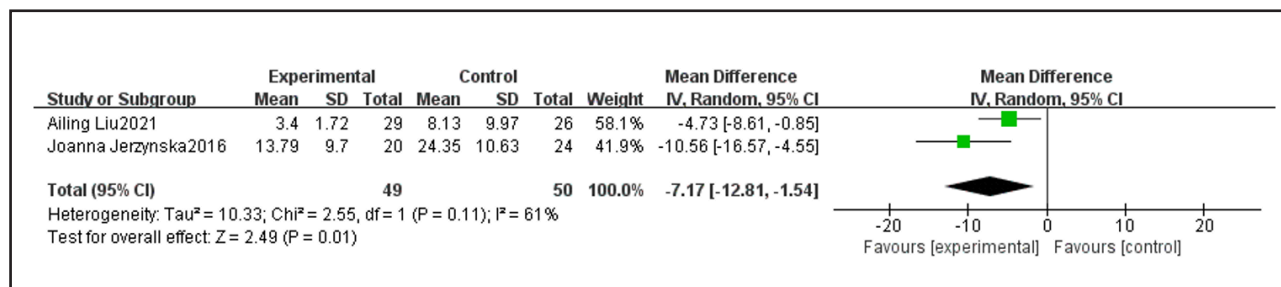
In our study, we found that the clinical symptoms of asthma patients improved after using probiotics (MD = -0.07, 95 % CI: -0.10, -0.04), and CACT-ACT score increased (MD = 2.26, 95 % CI: 1.14, 3.39). A study (22) showed no significant increase in IgE and IL-12 production in probiotics-treated subjects.



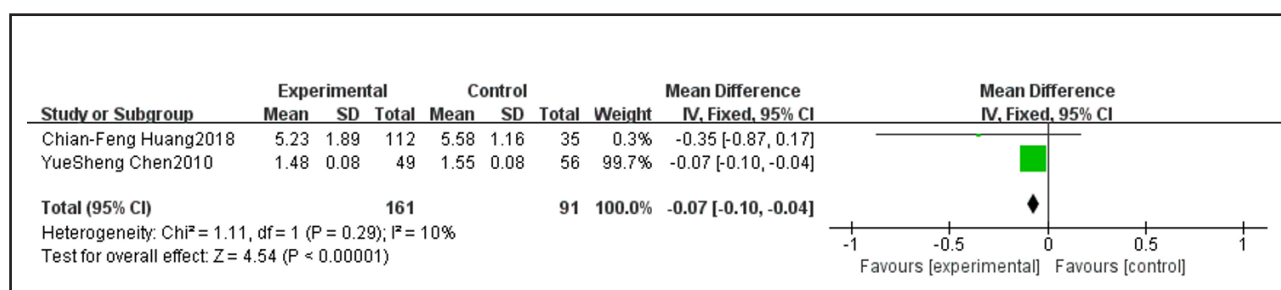
**Figure 2.**  
Risk of bias graph.

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Ailing Liu2021	+	+	+	+	●	+	?
Chian-Feng Huang2018	+	+	+	+	+	+	?
Joanna Jerzynska2016	+	+	+	+	+	+	?
Jonatas Christian Vieira Moura2019	+	+	?	?	+	+	?
Lorenzo Drago2022	+	+	+	+	●	+	?
M. A. Rose2010	+	+	?	?	●	+	?
Maryam Hassanzad2019	+	+	+	+	+	+	?
Michele Miraglia Del Giudice2017	+	+	+	+	?	+	?
Piotr Gutkowski2010	+	+	?	?	+	+	?
YueSheng Chen2010	+	+	+	+	+	+	?

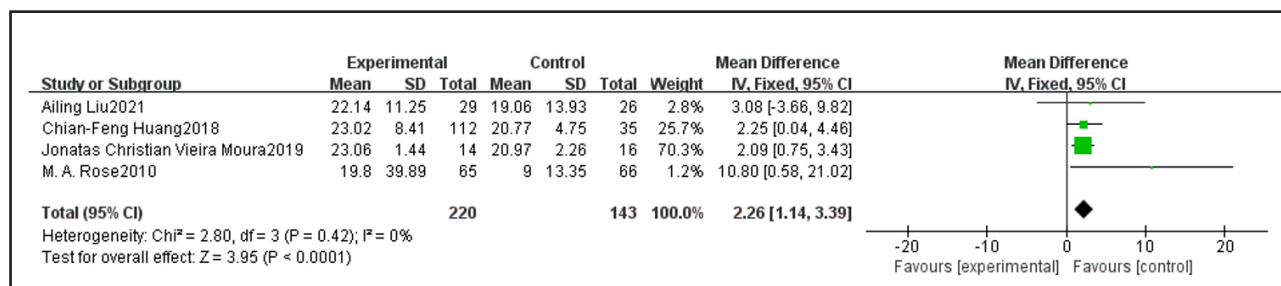
**Figure 3.**  
Risk of bias summary.



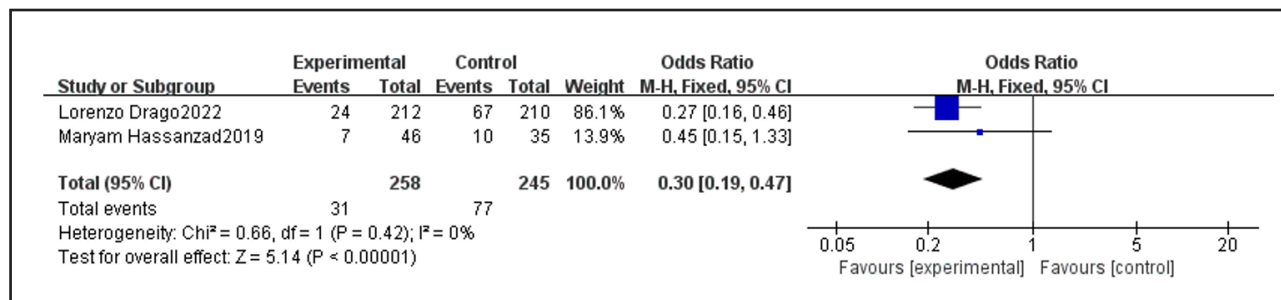
**Figure 4.** Comparison of fractional exhaled nitric oxide (FeNO) results between probiotics and control group.



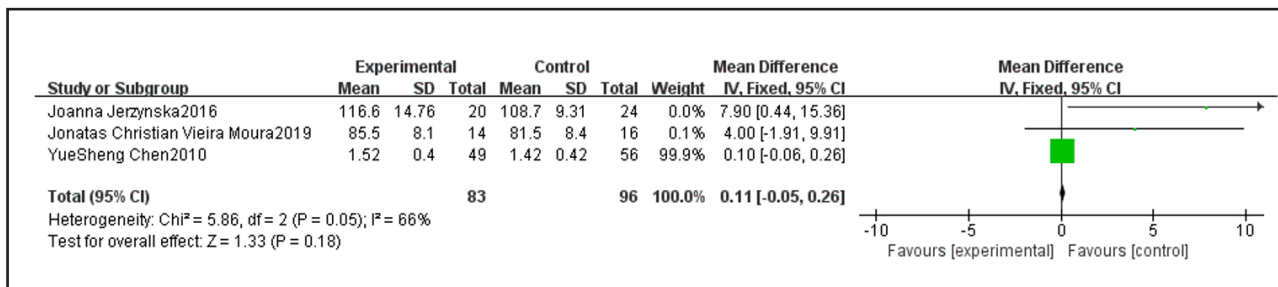
**Figure 5.** Comparison of asthma symptom severity between probiotics and control group.



**Figure 6.** Comparison of Childhood Asthma Control Test-Asthma Control Test (CACT-ACT) results between probiotics and control group.

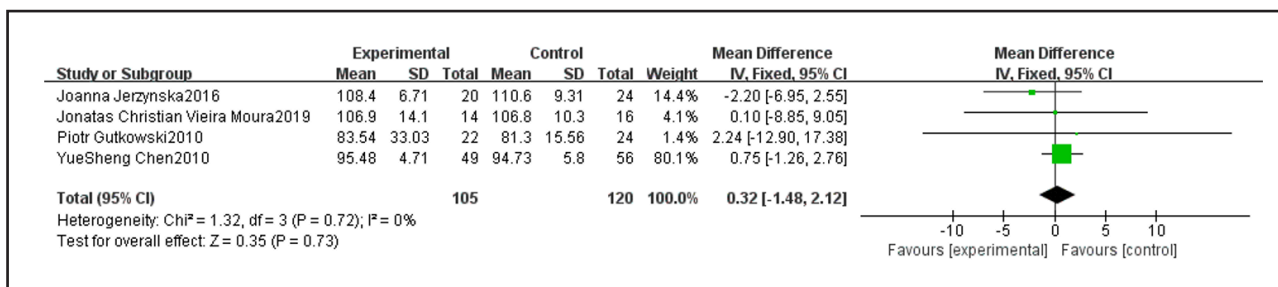


**Figure 7.** Comparison of the number of asthma exacerbations between probiotics and control group.



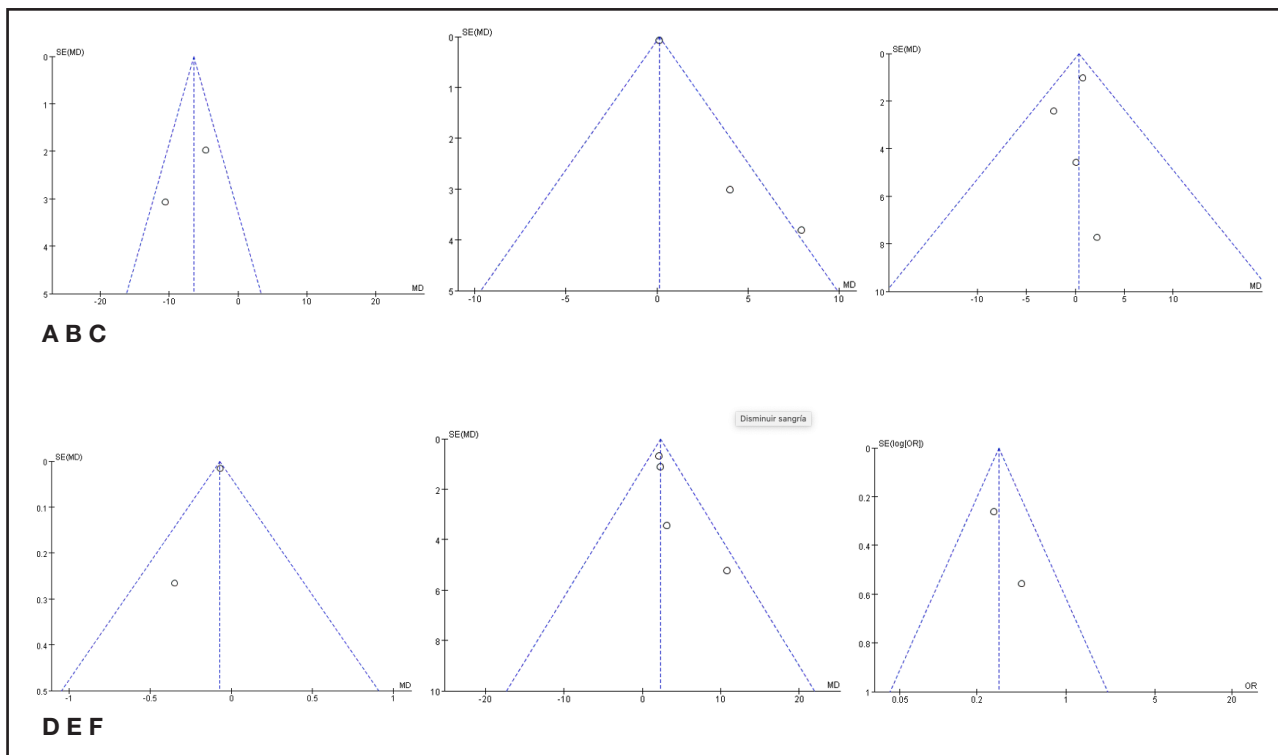
**Figure 8.**

Comparison of forced expiratory volume in the first second (FEV1) results between probiotics and control group.



**Figure 9.**

Comparison of forced expiratory volume in the first second/forced expiratory volume (FEV1/FVC) (%) results between probiotics and control group.



**Figure 10.**

Publication bias funnel plot.



This suggests that probiotics may not play a role through IgE, and it may control asthma through the intestinal-pulmonary axis, that is, probiotics enter the gastrointestinal tract to produce corresponding immune cells and cytokines, and lactic acid bacteria metabolites directly migrate from the intestine to the respiratory tract through circulation to produce corresponding effects. However, this is not completely consistent with the results of related experiments (27,28). Some experiments (2) have also proved that probiotics treat allergic asthma inflammation and pneumonia induced by OVA-LPS (ovalbumin-lipopolysaccharid) by regulating TLR4/NF- $\kappa$ B signaling pathways. There are some differences and contradictions in the existing research results. Therefore, the mechanism of probiotics affecting asthma needs further research and clarification (29,30).

FeNO reflects the level of airway inflammation in patients with asthma (31,32). In our study, FeNO in the experimental group was lower than that in the control group (MD = -7.17, 95 % CI: -12.81, -1.54). This indicates that the use of probiotics can control airway inflammation of asthma to a certain extent. This is consistent with the result of Kukkonen (19). At the same time, we found that FEV1 (MD = 0.11, 95 % CI: -0.05, 0.26) and FEV1/FVC (%) (MD = 0.32, 95 % CI: -1.48, 2.12) in patients with asthma using probiotics were not significantly different from those in the control group. It is worth noting that the two included studies (17,25) pointed out that probiotics could improve FEV1 in asthma patients, and Michele (20) found that taking probiotics and vitamin D3 simultaneously could also significantly reduce FeNO ( $p < 0.01$ ). In another study (16), although there was a significant difference in FEV1 between the experimental group and the control group, the difference was statistically significant before and after the study ( $p = 0.035$ ). When we did not incorporate the latter data into meta-analysis, the FEV1 results of the experimental group and the control group (MD = 5.50, 95 % CI: 0.87, 10.14) were statistically significant ( $p = 0.02$ ). The number of studies is the main reason for this phenomenon. Although there was no statistical difference in the effect of probiotics on lung function of patients based on the existing data, this result may change with the increase of high-quality randomized controlled trials.

This study showed that the number of acute episodes in patients with asthma after using probiotics was significantly reduced (OR = 0.30, 95 % CI: 0.19, 0.47). Jonatas (25) pointed out that the improvement of asthma symptoms in patients treated with probiotics was mainly concentrated in Wheezing ( $p = 0.046$ ), and there was no statistical difference in cough, tiredness, chest pain, nighttime symptoms, and absence from school. Lorenzo Drago (18) found that the frequency of acute exacerbations, severity and the number of times and doses needed to use drugs in patients with probiotics were lower than those in the control group, and there was statistical difference.

In this included literature, no major or minor adverse reactions occurred in all patients. The adverse reactions caused by probiotics are septicemia, bacteremia and gastrointestinal ischemia (33,34). In general, severe patients, severe infants, postoperative and hospitalized patients and patients with low immune function have more adverse reactions. Overall, however, the safety of probiotics in the treatment of asthma is worth ensuring (35).

Due to the limited number of included studies, no subgroup analysis was conducted. Therefore, the results may be affected by clinical heterogeneity. Studies have found that *Lactobacillus* have a certain preventive effect on asthma, while other probiotics have no effect (11). The duration of intervention, the standard of acute exacerbation of asthma, and patient age may affect the results. At the same time, relatively small sample size limits the accuracy of our analysis.

## CONCLUSIONS

The use of probiotics in patients with asthma can improve lung inflammation and asthma symptoms, reduce the number of asthma attacks, and have no significant effect on lung function.

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